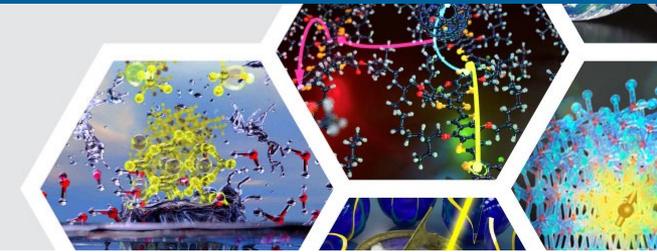




GREEN'S FUNCTION FORMULATION OF QUANTUM DEFECT EMBEDDING THEORY

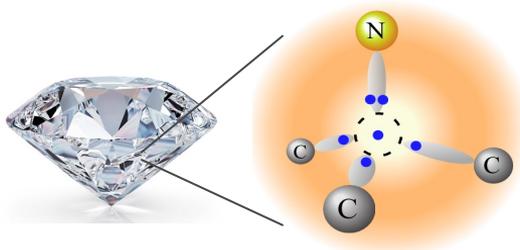
MARCO GOVONI^{1,2,3}, CHRISTIAN VORWERK¹, NAN SHENG¹, BENCHEN HUANG¹, VICTOR YU², GIULIA GALLI^{2,3}

- ¹University of Modena and Reggio Emilia
- ²University of Chicago
- ³Argonne National Laboratory

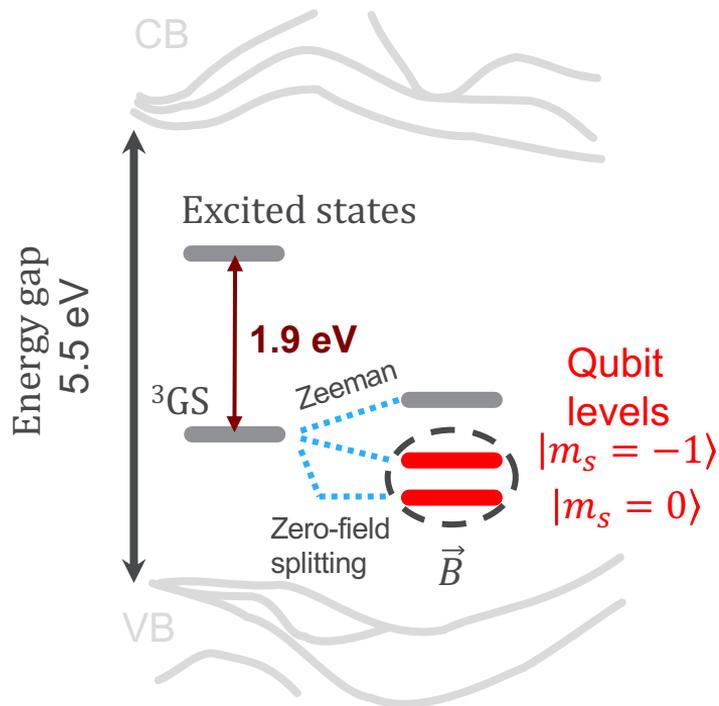


SPIN DEFECTS AS MOLECULAR SYSTEMS

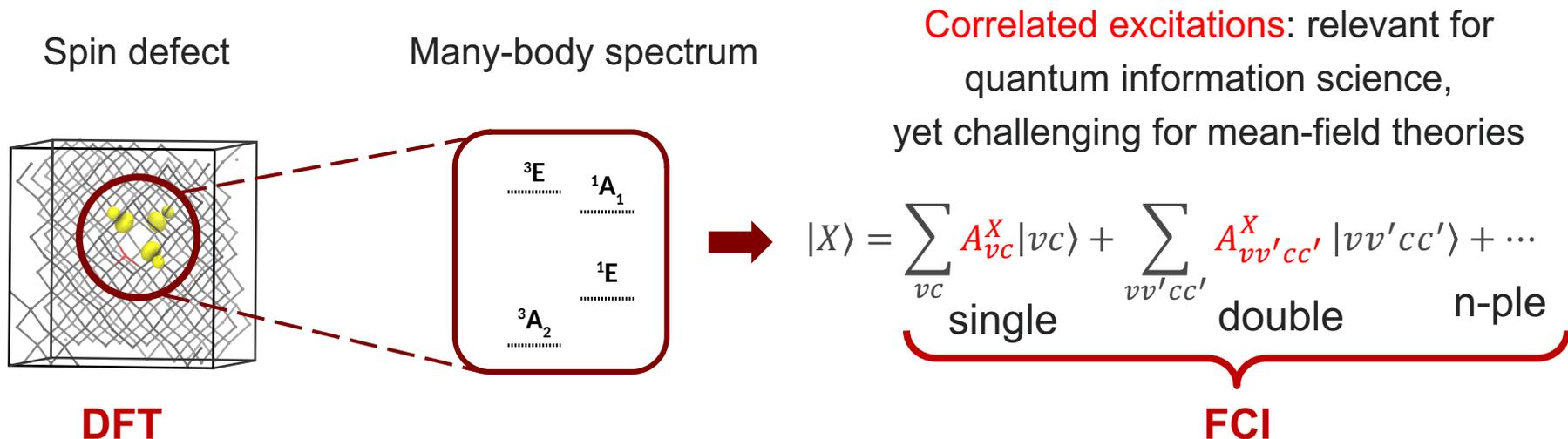
- **Optically active spin defects in semiconductors** are interesting platforms for the development of solid-state quantum technologies
 - **two-level system**
 - **optical read-out**
 - **wide temperature range operation**
 - compatible with **semiconductor technology**



NV⁻ in diamond



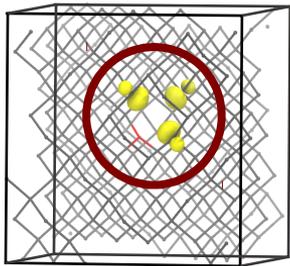
NEUTRAL EXCITATIONS IN EMBEDDED SYSTEMS



How can FCI be embedded in DFT?

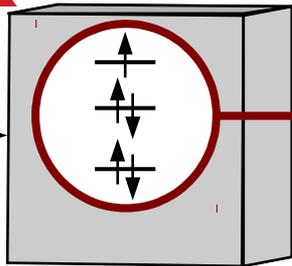
QUANTUM DEFECT EMBEDDING THEORY (QDET)

(1) Define Active space



Determine mean-field electronic structure of full system

(2) Define effective Hamiltonian



Identify set of localized single-particle states

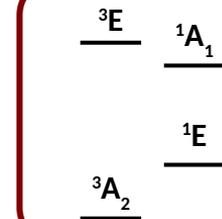
$$\hat{H}_{\text{eff}}$$

Define effective Hamiltonian

(3) Find eigenstates

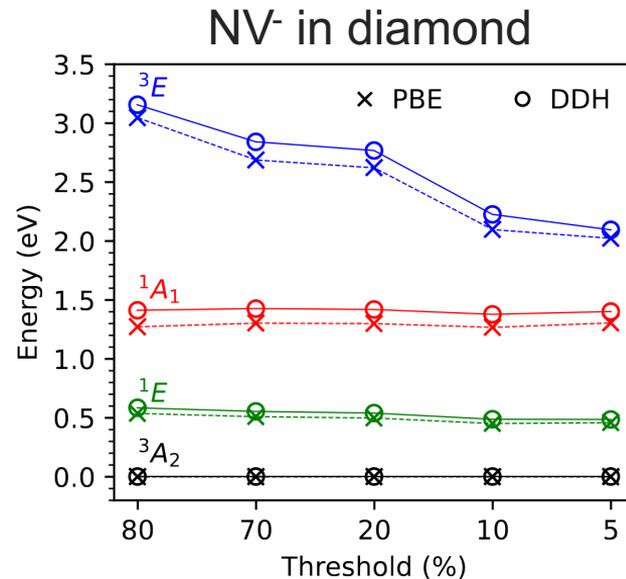
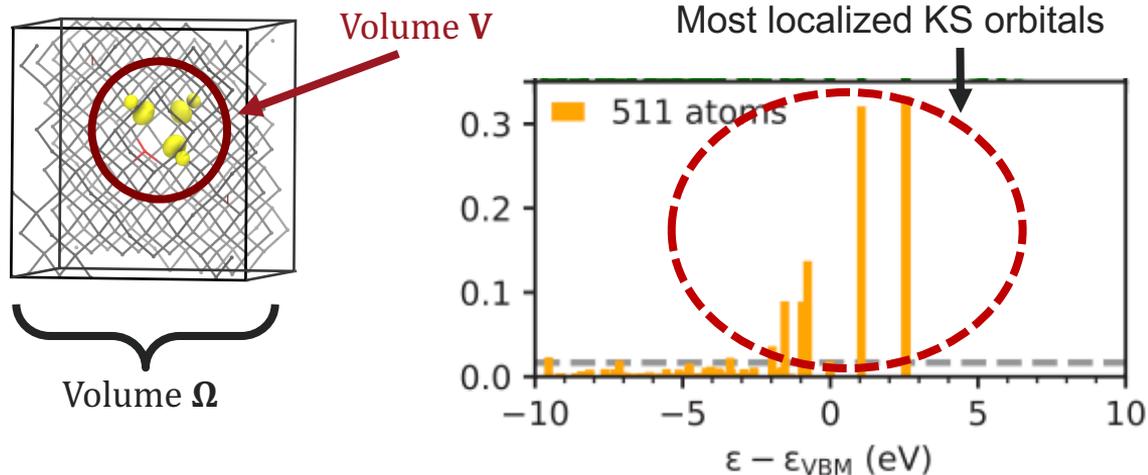
Quantum algorithms

Classical algorithms



Compute correlated many-body states

DEFINE ACTIVE SPACE

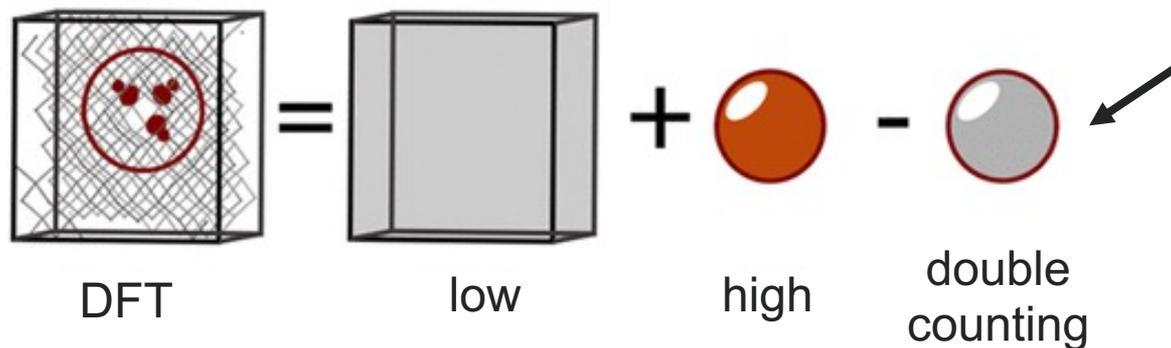


$$\text{Active space} = \{ \psi_n^{KS} \mid f_n = \int_{V \in \Omega} |\psi_n^{KS}(\mathbf{r})|^2 d\mathbf{r} > \text{Threshold} \}$$

Converged excitation energies with a 5% threshold \rightarrow (12o,22e) active space

DEFINE EFFECTIVE HAMILTONIAN

Describe excitations within the active space at the FCI level of theory (high), starting from a description of the whole system at the level of DFT (low)



Necessary to remove any **double counting** terms arising from the separation of the whole system into active space + environment

$$H^{\text{eff}} = \sum_{ij}^A t_{ij}^{\text{eff}} a_i^\dagger a_j + \frac{1}{2} \sum_{ijkl}^A v_{ijkl}^{\text{eff}} a_i^\dagger a_j^\dagger a_l a_k$$

one-body
two-body

EFFECTIVE TWO-BODY TERM

$$H^{\text{eff}} = \sum_{ij} t_{ij}^{\text{eff}} a_i^\dagger a_j + \frac{1}{2} \sum_{ijkl} v_{ijkl}^{\text{eff}} a_i^\dagger a_j^\dagger a_l a_k$$

one-body
two-body

The particles of the active space are subject to an effective interaction, screened by the other particles

v^{eff} effective Coulomb

bare Coulomb

$$W^{-1} = v^{-1} - P \quad \text{Separate the polarizability}$$

$$P = \underbrace{-iG^R G^R - iG^A G^R - iG^R G^A}_{P^R} - \underbrace{iG^A G^A}_{P^A}$$

$$W^{-1} = \boxed{v^{-1} - P^R} - P^A$$

\uparrow
 $[v^{\text{eff}}]^{-1}$

v^{eff} includes excitations that involve states that are NOT part of the active space

EFFECTIVE ONE-BODY TERM

$$H^{\text{eff}} = \sum_{ij}^A t_{ij}^{\text{eff}} a_i^\dagger a_j + \frac{1}{2} \sum_{ijkl}^A v_{ijkl}^{\text{eff}} a_i^\dagger a_j^\dagger a_l a_k$$

one-body
two-body

$$G^{-1} = g^{-1} - \Sigma \quad [\omega - t^{\text{eff}}]^{-1}$$

$$G^{-1} = \boxed{g^{-1} - \Sigma^{\text{low}} + \Sigma^{\text{dc}}} - \Sigma^{\text{high}}$$

Separate the self-energy

Interactions that are **doubly counted (dc)**:

- Hartree: already described by DFT
- Exchange & correlation: to be removed from DFT

$$\left. \begin{array}{l} \text{Hartree} \\ \text{Exchange \& correlation} \end{array} \right\} \Sigma^{\text{dc}} = \Sigma_H^{\text{high}} + (\Sigma_{XC}^{\text{low}})_A$$

$$\Sigma_H^{\text{high}} = v^{\text{eff}} \rho^A$$

$(\Sigma_{XC}^{\text{low}})_A = ?$ While ρ and G are easily separable, $v_{xc}[\rho]$ is NOT

EFFECTIVE ONE-BODY TERM

$$H^{\text{eff}} = \sum_{ij}^A t_{ij}^{\text{eff}} a_i^\dagger a_j + \frac{1}{2} \sum_{ijkl}^A v_{ijkl}^{\text{eff}} a_i^\dagger a_j^\dagger a_l a_k$$

one-body
two-body

$$G^{-1} = g^{-1} - \Sigma$$

$$G^{-1} = \boxed{g^{-1} - \Sigma^{\text{low}} + \Sigma^{\text{dc}}} - \Sigma^{\text{high}}$$

$[\omega - t^{\text{eff}}]^{-1}$

Interactions that are **doubly counted (dc)**:

- Hartree: already described by DFT
- Exchange & correlation: to be removed from DFT

$$\Sigma^{\text{dc}} = \Sigma_H^{\text{high}} + (\Sigma_{XC}^{\text{low}})_A$$

$$\Sigma_H^{\text{high}} = v^{\text{eff}} \rho^A$$

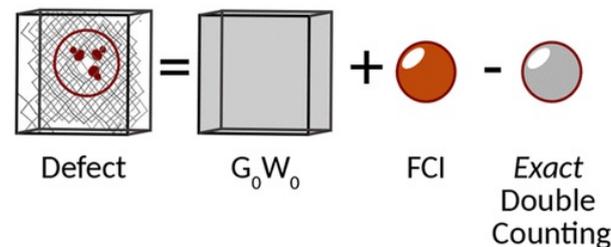
$$(\Sigma_{XC}^{\text{low}})_A = iG^A W$$

DFT+GW as “low” level of theory allows us to define a robust dc scheme

GREEN'S FUNCTION EMBEDDING FORMALISM

- An **effective Hamiltonian** allows us to treat correlation using **two levels of theory**

$$H^{\text{eff}} = \sum_{ij} t_{ij}^{\text{eff}} a_i^\dagger a_j + \frac{1}{2} \sum_{ijkl} v_{ijkl}^{\text{eff}} a_i^\dagger a_j^\dagger a_l a_k$$



Sheng, Vorwerk, Govoni, Galli, J. Chem. Theory Comput. 18, 3512 (2022)

one-body

$$t^{\text{eff}} = H^{\text{KS}} - t^{\text{dc}}$$

two-body

$$v^{\text{eff}} = [1 - P^R v]^{-1} v$$

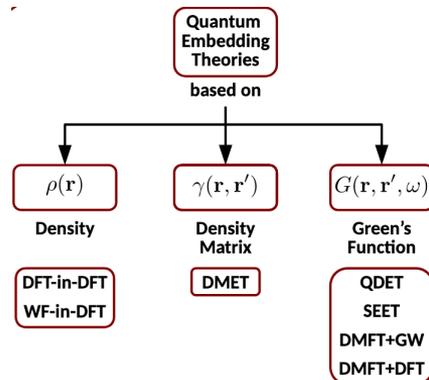
double-counting

$$t_{ij}^{\text{dc}} = [V_{xc}]_{ij} + \sum_{kl}^A [v^{\text{eff}}]_{ikjl} \rho_{kl}^A - [iG^R W]_{ij}$$

DFT

Hartree

Exchange
& correlation



GREEN'S FUNCTION EMBEDDING FORMALISM

- An **effective Hamiltonian** allows us to treat correlation using **two levels of theory**

$$H^{\text{eff}} = \sum_{ij}^A t_{ij}^{\text{eff}} a_i^\dagger a_j + \frac{1}{2} \sum_{ijkl}^A v_{ijkl}^{\text{eff}} a_i^\dagger a_j^\dagger a_l a_k$$

one-body

$$t^{\text{eff}} = H^{\text{KS}} - t^{\text{dc}}$$

two-body

$$v^{\text{eff}} = [1 - P^R v]^{-1} v$$

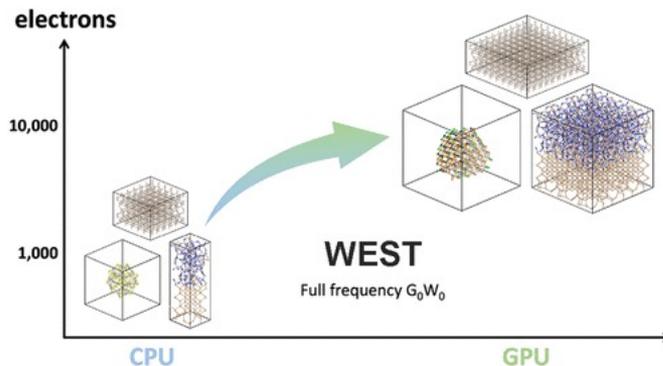
double-counting

$$t_{ij}^{\text{dc}} = [V_{xc}]_{ij} + \sum_{kl}^A [v^{\text{eff}}]_{ikjl} \rho_{kl}^A - [iG^R W]_{ij}$$

DFT

Hartree

Exchange
& correlation



< WEST! >



Efficient calculation of
matrix elements for
large systems on GPUs

NV CENTER IN DIAMOND

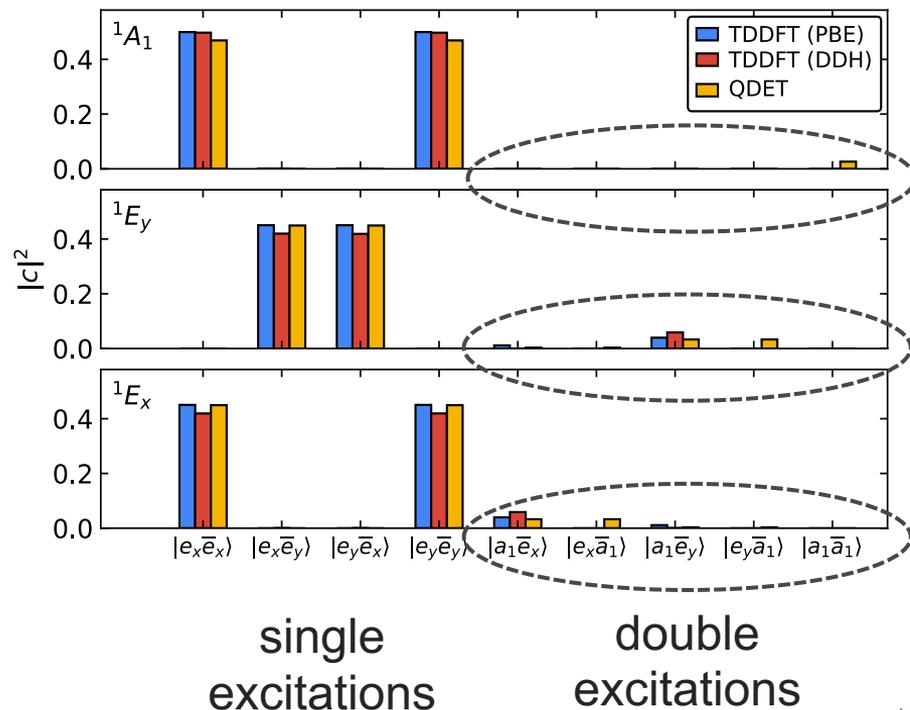
- Results obtained using the exact double counting (**DFT+G₀W₀**) correction are closer to the experimental values than those computed using a Hartree–Fock double counting (**HF dc @ DFT**) correction

| reference/electronic states | ¹ E | ¹ A ₁ | ³ E |
|---|----------------|-----------------------------|----------------|
| Exp ⁵⁶ | | | 2.18 |
| Exp ZPL ^{56–58,69,70} | 0.34–0.43 | 1.51–1.60 | 1.945 |
| QDET (DFT+G ₀ W ₀) | 0.463 | 1.270 | 2.152 |
| QDET (HF dc @ DFT) | 0.375 | 1.150 | 1.324 |

Sheng, Vorwerk, Govoni, Galli, J. Chem. Theory Comput. 18, 3512 (2022)

NV CENTER IN DIAMOND

Contribution of Slater determinants to the many body wavefunction

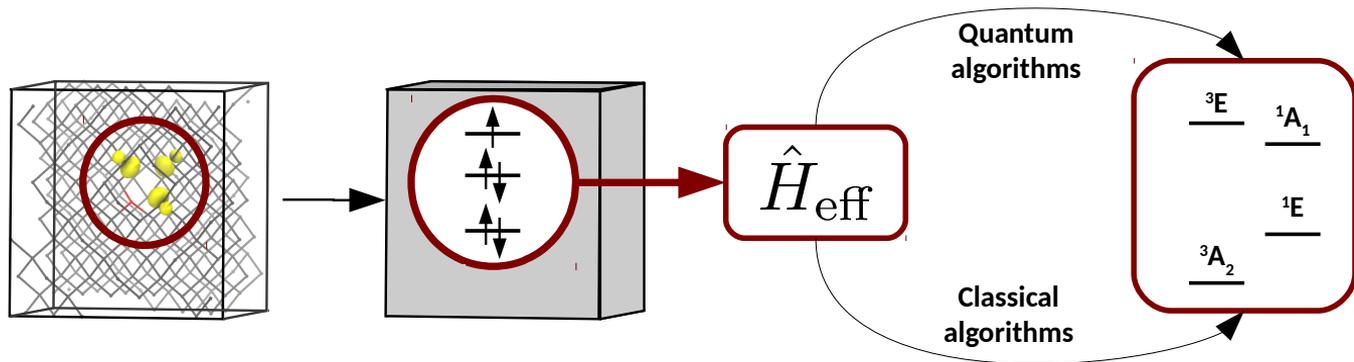


$$|X\rangle = \underbrace{\sum_{vc} A_{vc}^X |vc\rangle}_{\text{single}} + \underbrace{\sum_{vv'cc'} A_{vv'cc'}^X |vv'cc'\rangle + \dots}_{\text{double}} + \dots_{\text{n-ple}}$$

FCI

Ongoing work: comparison between BSE, TDDFT@hybrid, QDET

CLASSICAL/QUANTUM PROTOCOL FOR COMPUTATIONAL SPECTROSCOPY



Determine mean-field
electronic structure of
full system

Identify set of localized
single-particle states

Define effective
Hamiltonian

Compute correlated
many-body states

exascale



pre-exascale



} CPU/GPU



QUANTUM ESPRESSO

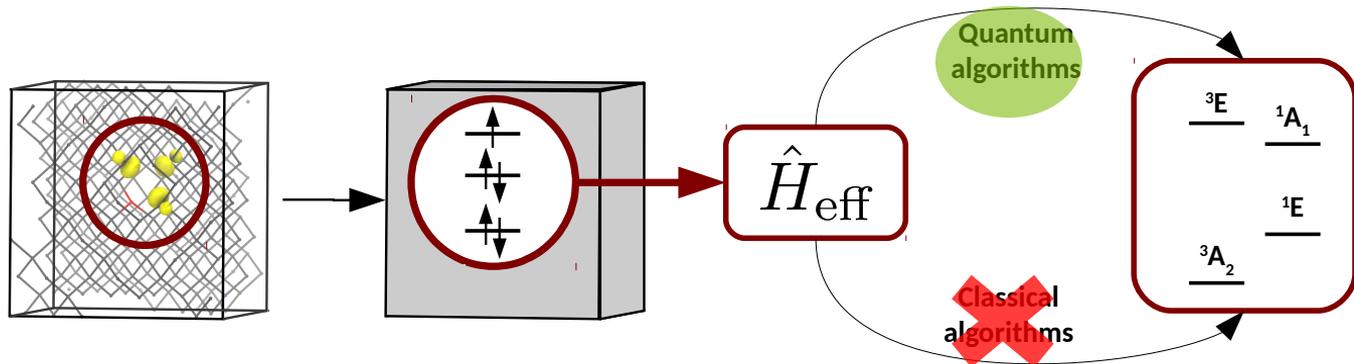


<http://quantum-espresso.org>

<http://west-code.org>

<https://pyscf.org/>

CLASSICAL/QUANTUM PROTOCOL FOR COMPUTATIONAL SPECTROSCOPY



Determine mean-field
electronic structure of
full system

Identify set of localized
single-particle states

Define effective
Hamiltonian

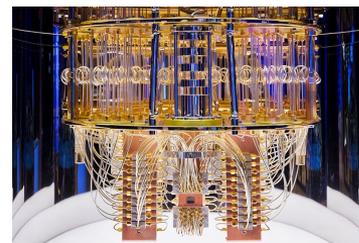
Compute correlated
many-body states

exascale

pre-exascale



CPU/GPU



QPU

QDET ON QUANTUM COMPUTERS

QDET

Define H^{eff} in second quantization

QEE

Shee, Tsai, Hong, Cheng, Goan, Phys. Rev. Res. 4, 023154 (2022)

Map to Qubit Hamiltonian

QCC

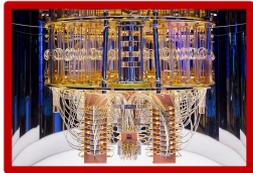
Ryabinkin, Yen, Genin, Izmaylov. JCTC 14, 6317 (2018)

Parametrize wfc

Variational Quantum Eigensolver

Quantum Subspace Expansion

Error mitigation

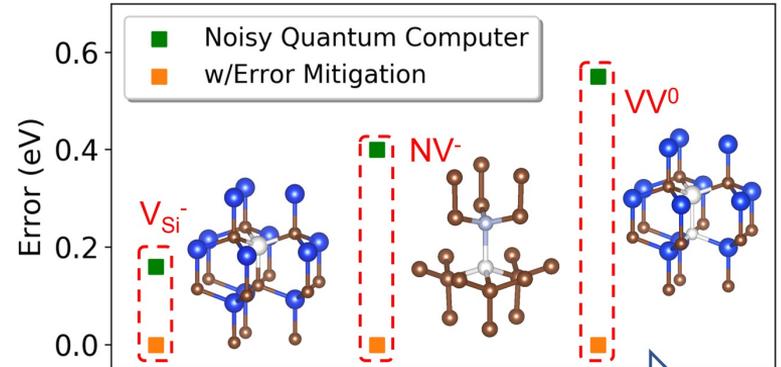


Huang, Sheng, Govoni, Galli, JCTC accepted, arXiv:2212.01912 (2023)

| | Jordan-Wigner | Quantum Efficient Encoding |
|---------------|---------------|----------------------------|
| # Slater det | 2^N | $O(N^h)$ |
| # qubits | N | $O(\log(N))$ |
| # Pauli terms | $O(N^4)$ | $O(N^{2h})$ |

Size of active space: N

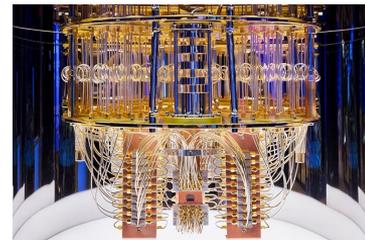
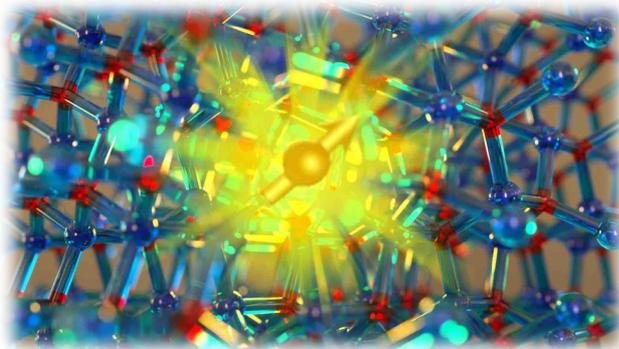
Number of "holes": $h = N - m_{\uparrow} - m_{\downarrow}$



Complexity

CONCLUSIONS

- Presented quantum embedded simulations of **FCI in DFT**, applied to spin-defects in semiconductors



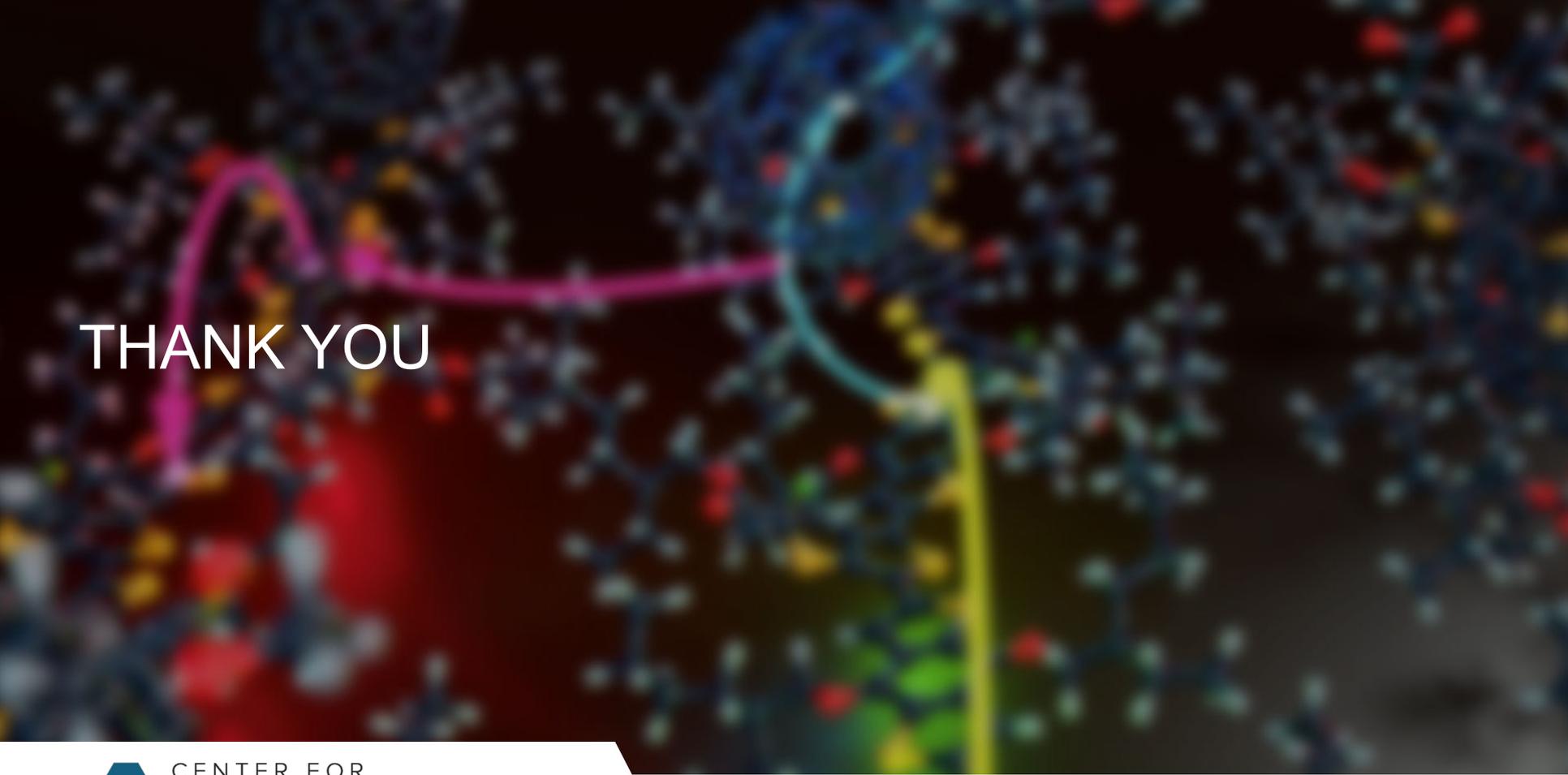
- **DFT+GW** is required as starting point for QDET to define a robust double counting removal scheme and obtain accurate results
- Within QDET, **pre-exascale computing** is used to obtain the parameters of the effective Hamiltonian that describes the active space, **quantum computing** can be used to solve FCI

ACKNOWLEDGMENTS

- Computational facilities used:
 - ALCF-Theta, NERSC-Perlmutter
 - OLCF-Summit
 - IBM Quantum Experience
- Allocations:
 - INCITE, ALCC, NERSC-NESAP
 - IBM Quantum Hub, NERSC-QIS



Thank you!



THANK YOU